

AO 257 (Rev. 6/78)

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURTBY: ☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT
☐ SUPERSEDING**OFFENSE CHARGED**18 USC § 287 - False Claims to
U.S. Agency - 17 counts☐ Petty
☐ Minor
☐ Misdemeanor
☒ Felony**PENALTY:**18 USC § 287 - 5 yrs imprisonment; \$250,000 fine;
3 yr - supervised release; \$100 Special Assessment**PROCEEDING**

Name of Complainant Agency, or Person (& Title, if any)

INTERNAL REVENUE SERVICE

☐ person is awaiting trial in another Federal or State
Court, give name of court☐ this person/proceeding is transferred from another
district per (circle one) FRCrP 20, 21 or 40. Show
District☐ this is a reprosecution of
charges previously dismissed
which were dismissed on
motion of:☐ U.S. Att'y ☐ Defense☐ this prosecution relates to a
pending case involving this same
defendant☐ prior proceedings or appearance(s)
before U.S. Magistrate regarding
this defendant were recorded underSHOW
DOCKET NO.MAGISTRATE
CASE NO.Name and Office of Person
Furnishing Information on
THIS FORM**SCOTT N. SCHOOLS**☒ U.S. Att'y ☐ Other U.S. AgencyName of Asst. U.S. Att'y
(if assigned)

CYNTHIA L. STIER, AUSA, TAX DIV.

Name of District Court and/or Judge/Magistrate Location
NORTHERN DISTRICT OF CALIFORNIA

DEFENDANT - U.S.

ROGER MAI

DISTRICT COURT NUMBER

DEFENDANT**IS NOT IN CUSTODY**1) ☒ Has not been arrested, pending outcome this proceeding.
If not detained give date any prior summons
was served on above charges2) ☐ Is a Fugitive3) ☐ Is on Bail or Release from (show District)

NORTHERN DISTRICT OF CALIFORNIA

IS IN CUSTODY4) ☐ On this charge5) ☐ On another conviction6) ☐ Awaiting trial on other
charges☐ Fed'l ☐ State

If answer to (6) is "Yes", show name of Institution

Has detainer
been filed?☐ Yes
☐ NoIf "Yes"
give date
filed**DATE OF
ARREST**

Month/Day/Year

Or... if Arresting Agency & Warrant were not

**DATE TRANSFERRED
TO U.S. CUSTODY**

Month/Day/Year

☐ This report amends AO 257 previously submitted**ADDITIONAL INFORMATION OR COMMENTS****PROCESS:**☒ SUMMONS ☐ NO PROCESS*☐ WARRANT Bail Amount: _____

If Summons, complete following:

☒ Arraignment ☐ Initial Appearance*Where defendant previously apprehended on complaint, no new summons
or warrant needed, since Magistrate has scheduled arraignment

Defendant Address:

2620 Alemany Blvd
San Francisco, CA 94112

Date/Time: _____

Before Judge: _____

Comments: Contact IRS Special Agent Maridehl Tablac re service of Summons (510) 715-9427

United States District Court

FOR THE

NORTHERN DISTRICT OF CALIFORNIA

CRIMINAL DIVISION

VENUE: SAN FRANCISCO

FILED
07 OCT - 2007
0628JSW
U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA

v.

ROGER MAI

DEFENDANT.

INDICTMENT

A TRUE BILL.

Indict

FOREMAN

Filed in open court this

4th

Day of Oct, 2007

Clerk

BETTY FONG

Bail, \$ Summons

Randor J. Vades
U.S. Magistrate Judge

SCOTT SCHOOLS (SCSBN 9990)
United States Attorney

FILED
07 OCT -4 PM 12:12
RICHARD W. WIEKING
CLERK - U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

JSW

UNITED STATES OF AMERICA,

Plaintiff,

v.

ROGER MAI,

Defendant.

CR

No. 07

0628

INDICTMENT

VIOLATIONS: 18 U.S.C. §287 - False
Claims to United States Agency

SAN FRANCISCO VENUE

INDICTMENT

The Grand Jury charges:

COUNTS ONE THROUGH SEVENTEEN (18 U.S.C. § 287 - False Claims)

On or about the dates set forth below, in the Northern District of California, the defendant,

ROGER MAI a/k/a ROGER LEXIN MAI,

then a resident of San Francisco, California, did make and present to the United States Treasury
Department the claims against the United States, specified below, which claims he knew to be
false, fictitious or fraudulent, by preparing or causing to be prepared U.S. Individual Income Tax
Returns [Forms 1040] which were presented for payment to the United States Treasury
Department, through the Internal Revenue Service, through electronic filing, which claims

Indictment

defendant MAI then and there well knew were false, fictitious or fraudulent.

Count	Date Of Offense On Or About	Taxpayer	Calendar Tax Year	Amount Falsely Claimed as a Refund on Form 1040
1	January 16, 2003	TA	2002	\$7,243.00
2	March 8, 2003	JB	2002	\$5,729.00
3	January 16, 2003	DB	2002	\$5,739.00
4	February 7, 2003	MC	2002	\$9,983.00
5	March 25, 2003	ND	2002	\$5,734.00
6	February 24, 2003	PGa	2002	\$6,076.00
7	March 5, 2003	PGo	2002	\$4,843.00
8	February 7, 2003	LH	2002	\$6,624.00
9	March 8, 2003	CO	2002	\$7,168.00
10	January 17, 2003	TR	2002	\$6,791.00
11	March 12, 2003	ES	2002	\$6,012.00
12	February 5, 2003	NS	2002	\$5,616.00
13	April 7, 2003	MT	2002	\$6,057.00
14	March 19, 2003	RV	2002	\$9,857.00
15	April 4, 2003	BW	2002	\$4,896.00
16	January 15, 2003	LY	2002	\$1,935.00
17	January 16, 2003	SZ	2002	\$6,746.00

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Indictment

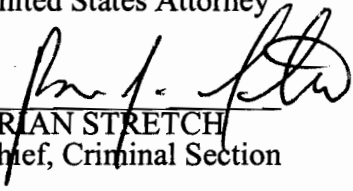
1 All in violation of Title 18, United States Code, Section 287.
2

3 A True Bill

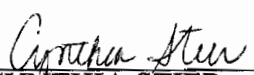
4 Dated: 10-4-07
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FOREPERSON

6 SCOTT SCHOOLS
7 United States Attorney

8 
9 BRIAN STRETCH
10 Chief, Criminal Section

11 Approved as to Form:

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13 CYNTHIA STIER
14 Assistant United States Attorney

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Indictment